



<p>Name of the Officer completing the evaluation Andrew Wathan Chief Internal Auditor</p> <p>Phone no: 07970 343010 E-mail: andrewwathan@monmouthshire.gov.uk</p>	<p>Please give a brief description of the aims of the proposal</p> <p>To introduce a revised and updated Anti-Fraud, Bribery and Corruption Policy Statement</p>
<p>Name of Service</p> <p>Internal Audit, Finance</p>	<p>Date Future Generations Evaluation form completed</p> <p>May 2017</p>

1. Does your proposal deliver any of the well-being goals below? Please explain the impact (positive and negative) you expect, together with suggestions of how to mitigate negative impacts or better contribute to the goal.


Well Being Goal	How does the proposal contribute to this goal? (positive and negative)	What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts?
<p>A prosperous Wales Efficient use of resources, skilled, educated people, generates wealth, provides jobs</p>	<p>Raising awareness of this policy should reduce the level of fraud, bribery and corruption within the Council which should lead to public funds being better directed to their proper use of service provision and minimise any mis-direction.</p> <p>If large volumes of allegations are reported the Council will need to ensure it has sufficient resources to deal with them efficiently and effectively.</p>	<p>This policy should be included in the new starter induction programme and publicised on the Hub to further raise awareness.</p>





Well Being Goal	How does the proposal contribute to this goal? (positive and negative)	What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts?
<p>A resilient Wales Maintain and enhance biodiversity and ecosystems that support resilience and can adapt to change (e.g. climate change)</p>	<p>Demonstrating and getting the message out there that any identified fraud or theft will be dealt with in a firm and consistent way should deter others from getting involved with the mis-use of public funds and over time, such incidents will be reduced.</p>	<p>Issues identified will be reported through the Audit Committee in future.</p>
<p>A healthier Wales People's physical and mental wellbeing is maximized and health impacts are understood</p>	<p>This policy could reduce the levels of stress within some individuals as it will provide an avenue to raise concerns they may have about improper practices in confidential manner.</p> <p>Some people may have a better sense of wellbeing knowing that a fraud / theft has been identified and stopped and that more of the public money will be used to provide the services it should be providing for the public.</p>	
<p>A Wales of cohesive communities Communities are attractive, viable, safe and well connected</p>	<p>Provided the appropriate sanctions are given to those found guilty of committing fraud / theft our communities will be a safer place to live and work.</p>	
<p>A globally responsible Wales Taking account of impact on global well-being when considering local social, economic and environmental wellbeing</p>	<p>If we can minimize the level of fraud/theft in our communities from applying this policy then we will be contributing to reducing the levels of the multi millions of pounds total frauds which will support a better social and economic environment.</p>	

Well Being Goal	How does the proposal contribute to this goal? (positive and negative)	What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts?
<p>A Wales of vibrant culture and thriving Welsh language Culture, heritage and Welsh language are promoted and protected. People are encouraged to do sport, art and recreation</p>	<p>A culture of trust and confidence that public money is being channelled in the right areas and being used to provide the right services for the right people at the right time will help support stronger communities where mis use of public funds will not be accepted.</p>	
<p>A more equal Wales People can fulfil their potential no matter what their background or circumstances</p>	<p>Anyone can raise a concern and it will be dealt with in sensitive, confidential and consistent manner.</p> <p>Those identified as committing fraud or theft will be dealt with in a firm and consistent way.</p>	

2. How has your proposal embedded and prioritised the sustainable governance principles in its development?

N/A really isn't appropriate here – there must be some link with the principles

Sustainable Development Principle	How does your proposal demonstrate you have met this principle?	What has been done to better to meet this principle?
 <p>Balancing short term need with long term and planning for the future</p>	<p>In the short term this policy gives staff and the public an avenue to report any concerns they may have. As awareness is raised more people will be aware of how to report any concerns which should deter others from committing fraud / theft. In the longer term where fraud/ theft is identified and stopped, public money will be better used for the right reasons.</p>	

Sustainable Development Principle	How does your proposal demonstrate you have met this principle?	What has been done to better to meet this principle?
 <p>Collaboration</p> <p>Working together with other partners to deliver objectives</p>	<p>In order to minimise fraud/ theft we will work with our partners to ensure that only serious allegations will be reported which can be substantiated, investigated and passed to the police.</p>	
 <p>Involvement</p> <p>Involving those with an interest and seeking their views</p>	<p>This policy was presented through the Audit Committee initially to provide comment and will be reviewed at least every 3 years. Views and comments of users of the policy / managers / Members will be taken on board to improve how we manage this process and further reduce the levels of fraud / theft within the Council.</p>	
 <p>Prevention</p> <p>Putting resources into preventing problems occurring or getting worse</p>	<p>Raising awareness of how to report fraud / theft through induction programmes, reports to Cabinet / Audit Committee and notices on the Hub should prevent future fraud / theft.</p> <p>Reporting on incidents and their outcomes will also help reduce levels of fraud / theft.</p>	
 <p>Integration</p> <p>Positively impacting on people, economy and environment and trying to benefit all three</p>	<p>Knowing that those involved with fraud / theft have been appropriately dealt with, the incident has stopped and that any previous loss of money is being put to proper purposes should ensure that those reporting concerns are reassured that the Council will not tolerate the misuse of public money and will ensure that it is used appropriately for the local economy.</p>	

3. Are your proposals going to affect any people or groups of people with protected characteristics? Please explain the impact, the evidence you have used and any action you are taking below. **This section has to be completed as an employment strategy should/must have benefits for the protected characteristics. Also, under EA210, there is a duty to look at the impacts on the staff(employed) themselves if any are protected characteristics. There should be evidence that the range of protected characteristics of the staff (and service users) has been reviewed and impacts assessed**

Protected Characteristics	Describe any positive impacts your proposal has on the protected characteristic	Describe any negative impacts your proposal has on the protected characteristic	What has been/will be done to mitigate any negative impacts or better contribute to positive impacts?
Anyone, regardless of their protected characteristic, can report any concerns they may have and they will be treated in a fair and consistent manner.			
Anyone, regardless of their protected characteristic, found guilty of committing fraud / theft against the Council will be dealt with in a fair and consistent manner.			
Age			
Disability			
Gender reassignment			
Marriage or civil partnership			
Race			
Religion or Belief			
Sex			
Sexual Orientation			
Welsh Language	<i>We will make this policy available in welsh should it be required</i>		

4. Council has agreed the need to consider the impact its decisions has on important responsibilities of Corporate Parenting and safeguarding. Are your proposals going to affect either of these responsibilities? For more information please see the guidance note <http://hub/corporatedocs/Democratic%20Services/Equality%20impact%20assessment%20and%20safeguarding.docx> and for more on Monmouthshire's Corporate Parenting Strategy see <http://hub/corporatedocs/SitePages/Corporate%20Parenting%20Strategy.aspx>

The strategy should have obvious impacts on safeguarding – if not, it should!

	Describe any positive impacts your proposal has on safeguarding and corporate parenting	Describe any negative impacts your proposal has on safeguarding and corporate parenting	What will you do/ have you done to mitigate any negative impacts or better contribute to positive impacts?
Safeguarding	<p><i>Safeguarding in this context applies to both children (not yet reached 18th birthday) and vulnerable adults (over 18 who is or may be in need of community care services by reason of mental or other disability, age or illness and who is or may be unable to take care of himself or herself, or unable to protect himself or herself against significant harm or serious exploitation.)</i></p> <p>This policy provides an avenue for those concerned, to report any issues of fraud / theft against vulnerable children or adults in a confidential way.</p>	<p><i>Safeguarding is about ensuring that everything is in place to promote the well-being of children and vulnerable adults, preventing them from being harmed and protecting those who are at risk of abuse and neglect.</i></p>	
Corporate Parenting	<p><i>This relates to those children who are 'looked after' by the local authority either through a voluntary arrangement with their parents or through a court order. The council has a corporate duty to consider looked after children especially and promote their welfare (in a way, as though those children were their own).</i></p> <p>This policy provides an avenue for those concerned, to report any issues of fraud / theft against looked after children in a confidential way.</p>		

5. What evidence and data has informed the development of your proposal?

Cabinet previously approved the Anti-Fraud and Corruption Policy in 2011. It needed to be revised and updated to take account of the Bribery Act 2010 and the recent Internal Audit report (2016/17) to ensure it was fit for purpose.

6. The reason for development of this policy relates to the SUMMARY: As a result of completing this form, what are the main positive and negative impacts of your proposal, how have they informed/changed the development of the proposal so far and what will you be doing in future?

Development of this policy arises from the need to ensure we continue to protect public funds so that they are used for proper purposes, providing the appropriate services required by the public, at the same time as minimizing fraud/ theft and protecting those who have made allegations.

7. Actions. As a result of completing this form are there any further actions you will be undertaking? Please detail them below, if applicable.

Section 7 needs to be completed and reflected in the report template, especially when the implementation will be reviewed

What are you going to do	When are you going to do it?	Who is responsible	Progress
Include this policy on the new starter induction programme	September 2017	Chief Internal Auditor	
Prepare a notice for the Hub	September 2017	Chief Internal Auditor	

8. Monitoring: The impacts of this proposal will need to be monitored and reviewed. Please specify the date at which you will evaluate the impact, and where you will report the results of the review.

<p>The impacts of this proposal will be evaluated on: Review of this policy will be taken in line with our normal review processes – which will be dependent upon legislative changes, welsh government guidance, and best practice.</p>	<p>A review of the impact of this policy will take place by June 2018 and be reported through the Audit Committee. The policy will be reviewed and revised if necessary by May 2020.</p>
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